Thu

DEC VA 2006 W

#### **PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Rainer Pommersheim

Examiner:

Unassigned

Serial No.:

10/593,226

Group Art Unit:

Unassigned

Filed:

September 18, 2006

Docket No.:

1374-8 PCT/US

Confirmation No.:

Unassigned

Customer No.:

33769

For:

**TECHNICAL PROCESS** 

Dated:

November 29, 2006

AND PLANT FOR THE PRODUCTION OF

**COACERVATE CAPSULES** 

Mail Stop Non-Fee Amendment

**Commissioner for Patents** 

P.O. Box 1450

Alexandria, VA 22313-1450

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail,

postpaid in an envelope, addressed to:

Commissioner for Patents, P.O. Box 1450,

Alexandria, Virginia 22313-1450

n: \_\_\_\_\_\_Npvember 29, 2006

Signature:

Christian P. Bodner

#### INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicant submits herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

### **UNITED STATES PATENTS**

<u>PATENTEE</u>	PATENT NO.	ISSUE DATE
Suglia, et al.	4,376,113	March 8, 1983
Brian North	5,035,844	July 30, 1991

### **FOREIGN PATENT APPLICATIONS**

<b>COUNTRY</b>	PATENT PUBLICATION NO.	<b>PUBLICATION DATE</b>
PCT	WO01/83099	November 8, 2001
PCT	WO03/031192	April 17, 2003
PCT	WO2004/016234	February 26, 2004
Germany	DE 43 12 970	October 27, 1994
Germany	DE19644343	April 30, 1998

The above-referenced documents are listed on PTO Form 1449. We have enclosed the cited documents to facilitate reference to them. In conformance with the waiver of the copy requirement in 37 C.F.R. §1.98 for cited U.S. patents issued on August 5, 2003, no copies of the foregoing U.S. patents are enclosed.

English Abstracts are submitted herewith for each of the foreign documents listed, except full English translations are provided for WO03031192 and WO2004016234.

A copy of the English version of the International Search Report for the corresponding PCT application serial no. PCT/EP2005/002324 is also submitted herewith.

Applicant is not aware of any other references to be identified at this time. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicant's attorney at the telephone number set forth below. If any additional fees are due, please charge our Deposit Account No. 502335. A duplicate

copy of this document is enclosed for that purpose.

Respectfully submitted,

Gerald T. Bodner Registration No. 30,449 Attorney for Applicant

Gerald T. Bodner, Esq. BODNER & O'ROURKE, LLP 425 Broadhollow Road Suite 108 Melville, NY 11747 Telephone: (631) 249-7500

Telephone: (631) 249-7500 Facsimile: (631) 249-4508

GTB:cpb

# PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE

DEC 04 2006 W

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
1374-8 PCT/US	10/593,226
APPLICANT	CONFIRMATION NO.
Rainer Pommersheim	Unassigned
FILING DATE	GROUP
September 18, 2006	Unassigned

**U.S. PATENT DOCUMENTS** 

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	5,035,844	7/30/1991	Brian North	264	4.33	
	4,376,113	3/8/1983	Suglia, et al.	424	34	

U.S. PATENT APPLICATION PUBLICATIONS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
	NUMBER					YES	NO
	W003/031192	4/17/2003	РСТ	B41M	5/20	X	
	WO2004/016234	2/26/2004	PCT	A51K	7/00	x	
	WO01/83099	11/08/2001	PCT	B01J	13/02	Abstract	
	DE 43 12 970	10/27/1994	Germany	B01J	13/02	Abstract	
	DE19644343	4/30/1998	Germany	B01J	13/02	Abstract	

OT	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	-				<u> </u>		

#### **EXAMINER**

### **DATE CONSIDERED**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.